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PATENT AND TRADEMARK OFFICE

PATENT  
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Technology Center 2100

APPLICANT: Mark Ireton  
APPLICATION NO.: 09/783,244  
FILING DATE: February 13, 2001  
TITLE: ENSURING LEGITIMACY OF DIGITAL MEDIA  
EXAMINER: UNASSIGNED  
GROUP ART UNIT: 2131  
ATTY. DKT. NO.: 18235-05421 (S30133US1.1)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Non-Fee Amendment, Commissioner For Patents, Washington, DC 20231, on the date shown below:

Dated: May 31, 2001

By: Neil F. Maloney

Neil F. Maloney, Reg. No. 42,833

BOX NON-FEE AMENDMENT  
COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

**INFORMATION DISCLOSURE STATEMENT**  
**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the

references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

The Information Disclosure Statement submitted herewith is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first official action on the merits, whichever event last occurred; OR
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either:
  - (1) a final action under 37 CFR §1.113; OR
  - (2) a notice of allowance under 37 CFR §1.311; AND
  - ☐ attached hereto is the fee of \$180, as set forth under 37 CFR §1.17(p), for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
- OR
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either:
  - [1] a final action under 37 CFR § 1.113; OR
  - [2] a notice of allowance under 37 CFR § 1.311; AND
 in accordance with the requirements of 37 CFR § 1.97(d):

- ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- ☐ Applicant hereby respectfully petitions for the consideration of the accompanying Information Disclosure Statement under 37 CFR § 1.97(d)(2); AND
- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

☒ Applicant submits that no fee is required for the consideration of the accompanying Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,

MARK IRETON

Dated: May 31, 2001

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Sheet 1 of 1

FORM PTO-1449  
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAttorney's Docket No.  
18235-05421Application No.  
09/783,244**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Applicant

Mark Ireton

Filing Date  
February 13, 2001Group Art Unit  
2131**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A1	5,966,446	10/12/99	Davis	380	25	

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	C1	SDMI - Secure Digital Music Initiative, "Guide to the SDMI Portable Device Specification," Part 1, Version 1.0, pp. 1-5.
	C2	SDMI - Secure Digital Music Initiative, "SDMI Portable Device Specification," Part I, Version 1.0, pp. 1-35, July 1999.
	C3	SDMI - Secure Digital Music Initiative, "Amendment 1 to SDMI Portable Device Specification, Part 1, Version 1.0," pp. 1-2, September 1999.
	C4	"Digital Signature Guidelines Tutorial," American Bar Association Section of Science and Technology Information Security Committee, December 1996, 7 pages [online]. Retrieved on 2001-01-25. Retrieved from the Internet: <URL: <a href="http://www.abanet.org/scitech/ec/isc/dsg-tutorial.html">http://www.abanet.org/scitech/ec/isc/dsg-tutorial.html</a> >.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.



PTO/SB/21 (modified)  
Approved for use through xx/xx/xx, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95  <b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence during pendency of filed application)</i>	Department of Commerce Patent and Trademark Office	Application Number	09/783,244
		Filing Date	February 13, 2001
		First Named Inventor	Mark Ireton
		Group Art Unit Number	2131
		Examiner Name	UNASSIGNED
Total Number of Pages in This Submission	6*	Attorney Docket Number	18235-05421 (S30133US1.1)

**ENCLOSURES (check all that apply)**

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<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Information Disclosure Statement & PTO-1449 <input checked="" type="checkbox"/> Copies of 5 IDS Cited References	<input type="checkbox"/>
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**REMARKS:** \* references not included in page count

**SIGNATURE OF ATTORNEY OR AGENT**

Signature:			
Attorney/Reg. No.:	Neil F. Maloney, Reg. No. 42,833	Dated:	May 31, 2001

**CERTIFICATE OF MAILING**

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Signature:			
Typed or Printed Name:	Neil F. Maloney	Dated:	May 31, 2001
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